

UOB KAY HIAN PRIVATE LIMITED

83 Clemenceau Avenue, #10-01, UE Square, Singapore 239920
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**NEW/ ADD/ CHANGE REQUEST
 INVESTMENT STRATEGY FOR DISCRETIONARY MANAGED ACCOUNTS
 (Portfolio management services offered by UOB Kay Hian Private Limited (“UOBKH”))**

NAME (AS IN TRADING ACCOUNT)

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NRIC / PASSPORT / COMPANY REGISTRATION NO.

ACCOUNT NO.

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Portfolio Strategy New/Add/Change Details

Please select one of the following options (Please tick the relevant box below):

- New/Add new investment strategy Change investment strategy (remove existing investment strategy)

Current Strategy (if applicable): _____ New / Additional / Changed Strategy Name: _____

Currency and Amount to be allocated (if applicable):

Currency: _____ Amount: _____

DECLARATIONS

1. I/We understand and acknowledge that I/we:
 - a. have been provided with the relevant information regarding the above investment strategy, including (but not limited to) its investment objectives, asset allocation approach, fees, and potential risks involved.
 - b. understand and accept that all investments are subject to inherent risks, including the possible loss of principal. I/We further accept the risk of vesting discretionary power in UOBKH for trading and managing for me/us a portfolio of securities and derivatives thereof.
 - c. have had the opportunity to seek independent investment advice from a financial advisor before making this request.
 - d. confirm that my/our decision to participate in, add or change the investment strategy is made on my/our own judgment and discretion (with or without advice from independent financial advisor).
 - e. acknowledge that UOBKH and/or its representatives have not guaranteed the performance of the selected strategy.
 - f. acknowledge that UOBKH does not provide any recommendation or financial advice in relation to this request, and that this transaction will be carried out on an execution-only basis, based solely on my/our own instructions.
 - g. agree that any participation in, change or addition to an investment strategy will be implemented in accordance with the terms and conditions for investing into that specific strategy and governed by the terms and conditions governing the managed account services set out in the UOBKH Master Trading Agreement.

2. I/We confirm that I/we have read and agree to the terms and conditions of this request. I/We further confirm that I/we enter into this request / authorisation on my/our own volition.

3. I/We acknowledge and agree that this request / authorisation, once acted upon, is irrevocable.

Yours faithfully,

Main Account Holder

Joint Account Holder

(Authorised Signatory(ies))

Name:

Name:

For and on behalf of
Name of Company:

Date:

Date:

Date:

FORM SUBMISSION

Please submit the form to the following address:

Attn: Client Accounts
 UOB Kay Hian Private Limited
 83 Clemenceau Avenue
 #10-01, UE Square
 Singapore 239920

<u>For Office Use Only</u>	
Signature Verified By CS.CA / Date	Data Entry By Portfolio Management Ops / Date